GUIDELINES ON TECHNICAL FUNCTIONALITY REQUIREMENTS. V2

This document is intended to act as a guide in relation to the technical requirements that must be assessed for the certification and authorisation of technical gambling systems.

Document control:

<table>
<thead>
<tr>
<th>Date</th>
<th>Description of the changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>12 July 2012</td>
<td>Creation of document. Includes the technical functionality requirements as of the date of document creation.</td>
</tr>
<tr>
<td>29 October 2014</td>
<td>Modifications resulting from the enactment of Ministerial Orders regulating Online Slot-Machines and Exchange Bets, as well as from the new RES_TEC and the amendments to Ministerial Orders regulating all other games.</td>
</tr>
</tbody>
</table>

General content of aspects featured in this guide:

1. REGULATORY TEXTS ................................................................................................................................... 2
2. TECHNICAL REQUIREMENTS FOR GENERAL LICENCES ....................................................................................... 3
3. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ......................................................................................... 6
   A. REQUIREMENTS FOR ALL SPECIFIC LICENCES ........................................................................................................ 6
   B. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ALLOWING BETS WITH BOOKMAKERS ON SPORTING EVENTS ........ 8
   C. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ALLOWING PARIMUTUEL BETS ON SPORTING EVENTS .................. 9
   D. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ALLOWING BETS WITH BOOKMAKERS ON HORSE-RACING EVENTS .... 9
   E. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ALLOWING PARIMUTUEL BETS ON HORSE-RACING EVENTS ............. 10
   F. TECHNICAL REQUIREMENTS FOR SPECIFIC LICENCES ALLOWING “OTHER BETS WITH BOOKMAKERS” ......................... 10
   G. TECHNICAL REQUIREMENTS FOR POKER LICENCES ................................................................................................. 11
   H. TECHNICAL REQUIREMENTS FOR ROULETTE LICENCES ............................................................................................. 12
   I. TECHNICAL REQUIREMENTS FOR BINGO LICENCES ................................................................................................. 12
   J. TECHNICAL REQUIREMENTS FOR BLACKJACK LICENCES .......................................................................................... 13
   K. TECHNICAL REQUIREMENTS FOR PUNTO BANCO LICENCES ..................................................................................... 13
   L. TECHNICAL REQUIREMENTS FOR COMPETITION LICENCES ...................................................................................... 14
   M. TECHNICAL REQUIREMENTS FOR "ADDITIONAL GAMES" LICENCES ................................................................. 14
   N. TECHNICAL REQUIREMENTS FOR "ONLINE SLOT-MACHINE" LICENCES ........................................................... 15
   O. TECHNICAL REQUIREMENTS FOR "EXCHANGE BETTING" LICENCES ................................................................. 16

The certification reports of functionality must assess compliance with the technical requirements.

The different requirements subject to certification are stipulated in various regulatory texts, namely: Statute, Royal Decrees, Ministerial Orders and Resolutions.

Only the technical requirements in relation to the technical gambling system must be assessed for the certification of such systems.
This section aims to provide a guide summarising the technical requirements found in the various regulatory texts, which must be taken into account for the certification of functionality. The requirements are classified according to area and include the terminology to be used in the final certification reports on functionality.

1. Regulatory texts

The following regulatory texts are referred to in this document:

- Royal Decree 1613/2011 of 14 November, elaborating on the technical requirements of gambling activities under the Gambling Act 13/2011 of 27 May (hereinafter, RD_TEC).
- Royal Decree 1614/2011 of 14 November, elaborating on the licensing, authorisation and registration of gambling activities under the Gambling Act 13/2011 of 27 May (hereinafter, RD_LIC).
- Order EHA 3079/2011 of 8 November, approving the basic regulations for "Other bets with bookmakers" (hereinafter, OM_OAC).
- Order EHA 3080/2011 of 8 November, approving the basic regulations for betting with bookmakers on sporting events (hereinafter, OM_ADC).
- Order EHA 3081/2011 of 8 November, approving the basic regulations for parimutuel betting on sporting events (hereinafter, OM_ADM).
- Order EHA 3082/2011 of 8 November, approving the basic regulations for betting with bookmakers on horse-racing events (hereinafter, OM_AHC).
- Order EHA 3083/2011 of 8 November, approving the basic regulations for parimutuel betting on horse-racing events (hereinafter, OM_AHM).
- Order EHA 3084/2011 of 8 November, approving the basic regulations for competitions (hereinafter, OM_CON).
- Order EHA 3085/2011 of 8 November, approving the basic regulations for the game of roulette (hereinafter, OM_RLT).
- Order EHA 3086/2011 of 8 November, approving the basic regulations for the game of punto banco (hereinafter, OM_PUN).
- Order EHA 3087/2011 of 8 November, approving the basic regulations for the game of bingo (hereinafter, OM_BNG).
- Order EHA 3088/2011 of 8 November, approving the basic regulations for the game of blackjack (hereinafter, OM_BLJ).
- Order EHA 3089/2011 of 8 November, approving the basic regulations for the game of poker (hereinafter, OM_POQ).
- Order EHA 3090/2011 of 8 November, approving the basic regulations for the type of games called "Additional Games" (hereinafter, OM_COM).
- Order HAP/1370/2014 of 25 July, approving the basic regulations for online slot-machine gambling (hereinafter, OM_AZA).
Order HAP/1369/2014 of 25 July, approving the basic regulations for exchange bets, and which amended various ministerial orders that approved the basic regulations for particular games (hereinafter, OM_ACX).

Resolution of 6 October 2014, approving the data model for use in the information monitoring system of gambling operation registers (hereinafter, RES_MOD).

Resolution of 6 October 2014, approving the regulation which elaborates on the technical specifications for gambling, traceability and security that must be met by the non-reserved technical gambling systems licensed under the Gambling Act 13/2011 of 27 May (hereinafter, RES_TEC).

Order (to be specified) of October 2014, approving the terms of reference that will govern the invitation to apply for general licences for the development and operation of gambling activities under the Gambling Act 13/2011 of 27 May (hereinafter, OM_PLG).

Resolution of 16 November 2011, from the Directorate General for the Regulation of Gambling, establishing the test for responsible gambling and for preventing addictive gambling behaviour, in accordance with the provision of article 36 of Royal Decree 1614/2011 of 14 November, which elaborates on the licensing, authorisation and registration of gambling activities under the Gambling Act 13/2011 of 27 May (hereinafter RES_TES).

2. Technical requirements for general licences

Area: Responsible Gambling

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_PLG Appendix II. 3.1</td>
<td>Preventive actions for pathological gambling and its activities</td>
<td></td>
</tr>
<tr>
<td>OM_PLG Appendix II. 3.2</td>
<td>Awareness actions and their operation</td>
<td></td>
</tr>
<tr>
<td>OM_PLG Appendix II. 3.3.b)</td>
<td>Planned measures to alleviate the harmful effects of gambling</td>
<td>Link to support institutions, associations and centres</td>
</tr>
</tbody>
</table>

Area: Contract Acceptance, copy and amendments

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_LIC Article 33.1.b)</td>
<td>Operator responsibilities towards participants</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.4.2</td>
<td>Privacy policy</td>
<td>Acceptance of privacy policy. Publication of privacy policy.</td>
</tr>
</tbody>
</table>

Area: User registration and exclusion checks

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 26</td>
<td>Participant identification</td>
<td></td>
</tr>
<tr>
<td>RD_TEC Article 27</td>
<td>Management of individual prohibition on participation in gambling activities and money laundering</td>
<td></td>
</tr>
<tr>
<td>RD_TEC Article 26</td>
<td>Participant identification</td>
<td>Minimum data to be collected</td>
</tr>
<tr>
<td>RD_LIC Article 35</td>
<td>Records of users and gambling accounts</td>
<td></td>
</tr>
<tr>
<td>RD_LIC Fourth additional provision</td>
<td>Particular nature of competitions</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.1</td>
<td>Participant identification</td>
<td>Data to collect</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.2</td>
<td>Storage of a copy of all documents supplied</td>
<td></td>
</tr>
<tr>
<td>---------------------------</td>
<td>---------------------------------------------</td>
<td>---</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.4</td>
<td>Verification services offered by the DGOJ</td>
<td>The system records and stores the enquiries. The system checks the RGIAJ.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.5</td>
<td>User registration activation and restriction on participation</td>
<td>User registration activation and restriction on participation. Identity and tax residency check procedures. Registration</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.6</td>
<td>Periodic review of user registrations</td>
<td>Monthly procedure</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.7</td>
<td>Cancellation of user registration</td>
<td>Record of cancellations</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.8</td>
<td>Suspension due to inactivity</td>
<td>Record of suspensions</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.9.1</td>
<td>Fraud and money laundering prevention measures</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.9.2</td>
<td>Record and communication</td>
<td>Suspension procedure and recording</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.1.10</td>
<td>Single active user registration</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 02/01/2011</td>
<td>Identification for access</td>
<td>Unique identifier</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 02/01/2013</td>
<td>Information to the participant regarding the last connection</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Gambling account, amounts paid and collected**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_LIC Article 33.1.f</td>
<td>Operator responsibilities towards participants</td>
<td>Immediate recording on the account</td>
</tr>
<tr>
<td>RD_LIC Article 35.1 and 2</td>
<td>Records of users and gambling accounts</td>
<td></td>
</tr>
<tr>
<td>RD_LIC Article 37.1 and 4</td>
<td>Payment for participation in gambling activities</td>
<td></td>
</tr>
<tr>
<td>RD_LIC Article 38.1 and 2</td>
<td>Payment of winnings</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.1</td>
<td>Functionality of the gambling account.</td>
<td>Association with the user registration. Transfers between accounts of a single registration. Completeness of record entries (cancellations, corrections, etc.)</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.2</td>
<td>Management of deposits from participants.</td>
<td>Weekly record. Balance increase procedure.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.3</td>
<td>Record for last 30 days at least.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.3</td>
<td>Record by calendar year for registered users.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.3</td>
<td>Record by calendar year for users without a gambling account.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.4</td>
<td>Currency of the gambling account</td>
<td>Recording of bonus points in other currency units</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.5</td>
<td>Restriction on transfers between participants</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.6</td>
<td>Promotional offers</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.7</td>
<td>User registrations that are not active</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.9</td>
<td>Positive balance</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.3.1</td>
<td>Payment and collection records</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.3.3</td>
<td>Monthly monitoring procedure</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Limits on deposits**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_LIC Article 36</td>
<td>Limits on deposits</td>
<td></td>
</tr>
<tr>
<td>RD_LIC Appendix II</td>
<td>Deposit limits</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 2.2.8</td>
<td>Deposit limits</td>
<td>Record of limit modifications, responsible gambling tests and historical activity analyses.</td>
</tr>
</tbody>
</table>

**Area: Records and traceability**

Page 4 of 17

Atocha 3, 28012 Madrid, Spain

This text of this site is unofficial English translation of the official texts in Spanish. The later will prevail in case of discrepancies.
### Area: Terminals and user sessions

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 4.1.a) and c)</td>
<td>Central Gambling Unit requirements</td>
<td>Record all operations. Reliably reconstruct all operations.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 6.1</td>
<td>Records and traceability</td>
<td>6 years. 12 months online.</td>
</tr>
</tbody>
</table>

### Area: Communication channels

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 15.1 and 2</td>
<td>Gambling activities conducted through the “.es” domain name.</td>
<td>Redirects.</td>
</tr>
<tr>
<td>RD_TEC Article 16</td>
<td>Gambling activities through landline or mobile telephone text messaging services</td>
<td>Capture and recording of gambling-activity messages.</td>
</tr>
<tr>
<td>RD_TEC Article 17</td>
<td>Gambling activities through voice communication services</td>
<td>Capture and recording of gambling-activity communications.</td>
</tr>
<tr>
<td>RD_TEC Article 18</td>
<td>Gambling activities through audio-visual communications</td>
<td>Capture and recording of gambling-activity communications.</td>
</tr>
</tbody>
</table>

### Area: Applications for free gambling

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_LIC Sixth additional provision</td>
<td>Applications for free gambling</td>
<td>Notification about the real gambling activity to participants. False expectations on the possibilities and odds in the gambling activity.</td>
</tr>
</tbody>
</table>

### Area: Internal control system (SCI)

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 13</td>
<td>Internal control system (SCI)</td>
<td>Capture and recording of all operations.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.2</td>
<td>DGOJ access to storage files</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.3</td>
<td>Data model for the SCI</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.4</td>
<td>SCI time source</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.5</td>
<td>Signature, compression and encryption of SCI data</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.6</td>
<td>Efficiency of the data capturer and storage</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.8</td>
<td>Unavailable SCI and suspension of the gambling on offer.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 05/01/2011</td>
<td>Information quality of the SCI</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 05/01/2014</td>
<td>Location of storage files in Spain.</td>
<td></td>
</tr>
<tr>
<td>RES_MOD Appendix I.</td>
<td>Data model for monitoring</td>
<td>Compliance with the requirements must be broken down to the smallest detail.</td>
</tr>
</tbody>
</table>
### 3. Technical requirements for specific licences

#### a. Requirements for all specific licences

**Area: Return percentage and prize tables**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.1</td>
<td>Basic regulations of the gambling activity.</td>
<td>Procedure for verifying the gambling on offer on at least a monthly basis.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.3</td>
<td>Return percentage to the participant</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.4</td>
<td>Prize tables</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Random number generator (GNA)**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.5.1</td>
<td>GNA functioning</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.5.2</td>
<td>Scaling methods</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.5.3</td>
<td>GNA Hardware</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.5.4</td>
<td>Faults in the GNA</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.5.5</td>
<td>GNA reseeding</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Game logic**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.6.1</td>
<td>Logic independent of the user terminal</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.6.2</td>
<td>Application of the GNA to the gambling activities</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.6.3</td>
<td>Game logic controls</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Records and traceability**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 4.1.a) and c)</td>
<td>Central Gambling Unit requirements</td>
<td>Record all operations. Reliably reconstruct all operations.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 6.1</td>
<td>Records and traceability</td>
<td>6 years.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>12 months online.</td>
</tr>
</tbody>
</table>

**Area: Terminals and user sessions**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.7.1.1</td>
<td>Terminal identification</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.1.2</td>
<td>Terminal functionality. Traceability of operations.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.2.1</td>
<td>Component installation on the user’s terminal</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.2.2</td>
<td>Disadvantage due to connection quality</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.2.3</td>
<td>Information on connection quality.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.2.4</td>
<td>Reduced functionality for particular terminals</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.2.5</td>
<td>Minimum resources of the terminal</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.3.1</td>
<td>Participant data processing</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.3.2</td>
<td>Physical design</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.3.3</td>
<td>Terminal integrity</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.7.3.4</td>
<td>Mobile terminals</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.8.1</td>
<td>Disconnection due to inactivity</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.8.2</td>
<td>User session record</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Communication channels**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 15.1 and 15.2</td>
<td>Gambling activities conducted through “.es” domain name.</td>
<td></td>
</tr>
</tbody>
</table>
### Area: Applications for free gambling

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_LIC Sixth additional provision</td>
<td>Applications for free gambling</td>
<td>Notification about the real gambling activity to participants False expectations on the possibilities and odds in the gambling activity.</td>
</tr>
</tbody>
</table>

### Area: Graphic interface

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEY_RJU Art. 6.1.a</td>
<td>Subject of the user interface</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.1</td>
<td>Gambling activity details</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.2</td>
<td>Participant details</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.3</td>
<td>Winnings</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.4</td>
<td>Card games</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.5</td>
<td>Simulation of real-life features</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.9.6</td>
<td>Third-party graphic interface</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Behaviour in relation to technical faults

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.11</td>
<td>Disabling of a game or gambling session</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.12</td>
<td>Incomplete games</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Automatic gambling

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.13</td>
<td>Automatic gambling</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Move repetition

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.14</td>
<td>Move repetition</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Real-time gambling

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.19</td>
<td>Real-time gambling</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Various features

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.15</td>
<td>Virtual players</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.16</td>
<td>Metamorphosis games</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.17</td>
<td>Absent participant.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.18</td>
<td>Hosted multiplayer games</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 3.21</td>
<td>Pre-recorded games</td>
<td></td>
</tr>
</tbody>
</table>
Area: Roll-over jackpots

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RES_TEC Appendix I. 3.20</td>
<td>Jackpots, progressive jackpots and additional prizes</td>
<td></td>
</tr>
</tbody>
</table>

Area: Internal control system (SCI)

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>RD_TEC Article 13</td>
<td>Internal control system (SCI)</td>
<td>Capture and recording of all operations.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.2</td>
<td>DGOJ access to storage files</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.3</td>
<td>Data model for the SCI</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.4</td>
<td>SCI time source</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.5</td>
<td>Signature, compression and encryption of SCI data</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.6</td>
<td>Efficiency of the data capturer and storage</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 5.1.8</td>
<td>Unavailable SCI and suspension of the gambling on offer</td>
<td>Compliance with the requirements must be broken down to the smallest detail.</td>
</tr>
<tr>
<td>RES_TEC Appendix I. 05/01/2011</td>
<td>Information quality of the SCI.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 05/01/2014</td>
<td>Location of storage files in Spain.</td>
<td></td>
</tr>
<tr>
<td>RES_MOD Appendix I.</td>
<td>Data model for monitoring</td>
<td></td>
</tr>
</tbody>
</table>

b. Technical requirements for specific licences allowing bets with bookmakers on sporting events

Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADC Appendix I. Article 2</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 6</td>
<td>Particular rules for bets with bookmakers on sporting events. The gambling activity is governed by basic regulations. Published particular rules.</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 11</td>
<td>Objective of bets with bookmakers on sporting events.</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 12</td>
<td>Amounts for bets with bookmakers on sporting events.</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 13</td>
<td>Laying bets and event cancellations.</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 14</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 15</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADC Article 3</td>
<td>Monetary limits for participation in bets with bookmakers on sporting events</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix I. Article 12</td>
<td>Monetary limits for participation in bets with bookmakers on sporting events</td>
<td></td>
</tr>
<tr>
<td>OM_ADC Appendix III</td>
<td>Monetary limits for participation in bets with bookmakers on sporting events</td>
<td></td>
</tr>
</tbody>
</table>

Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADC Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADC Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>
c. Technical requirements for specific licences allowing parimutuel bets on sporting events

Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADM Appendix I. Article 2</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 6</td>
<td>Particular rules for parimutuel bets on sporting events.</td>
<td>The gambling activity is governed by basic regulations.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The gambling activity is governed by particular rules.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Published particular rules.</td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 11</td>
<td>Objective of parimutuel bets on sporting events.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 12</td>
<td>Amounts for parimutuel bets on sporting events.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 13</td>
<td>Laying bets and event cancellations.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 14</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 15</td>
<td>Distribution of funds for winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix I. Article 16</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADM Article 3</td>
<td>Monetary limits for participation in parimutuel bets on sporting events</td>
<td></td>
</tr>
<tr>
<td>OM_ADM Appendix III</td>
<td>Monetary limits for participation in parimutuel bets on sporting events</td>
<td></td>
</tr>
</tbody>
</table>

Area: Duties of informing participants

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADM Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADM I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

d. Technical requirements for specific licences allowing bets with bookmakers on horse-racing events

Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHC Appendix I. Article 2</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 6</td>
<td>Particular rules for bets with bookmakers on horse-racing events.</td>
<td>The gambling activity is governed by basic regulations.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The gambling activity is governed by particular rules.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Published particular rules.</td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 11</td>
<td>Objective of bets with bookmakers on horse-racing events.</td>
<td></td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 12</td>
<td>Amounts for bets with bookmakers on horse-racing events.</td>
<td></td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 13</td>
<td>Laying bets and event cancellations.</td>
<td></td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 14</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_AHC Appendix I. Article 15</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHC Article 3</td>
<td>Monetary limits for participation in bets</td>
<td></td>
</tr>
</tbody>
</table>
### OM_AHC Appendix III

**Area: Duties of informing participants**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHC Appendix I. Article 8</td>
<td>Duties of informing participants</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Promotion of gambling activities**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHC Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

**e. Technical requirements for specific licences allowing parimutuel bets on horse-racing events**

**Area: Realisation of the gambling activity**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHM Appendix I. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 6.</td>
<td>Particular rules for parimutuel bets on horse-racing events.</td>
<td>The gambling activity is governed by basic regulations. The gambling activity is governed by particular rules. Published particular rules.</td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 11.</td>
<td>Objective of parimutuel bets on horse-racing events.</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 12.</td>
<td>Amounts for parimutuel bets on horse-racing events.</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 14.</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 15.</td>
<td>Distribution of funds for winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix I. Article 16.</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Monetary limits for participation**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHM Article 3</td>
<td>Monetary limits for participation in parimutuel bets on horse-racing events</td>
<td></td>
</tr>
<tr>
<td>OM_AHM Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Area: Duties of informing participants**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AHM Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Promotion of gambling activities**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ADM Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

**f. Technical requirements for specific licences allowing "Other bets with bookmakers"**

**Area: Realisation of the gambling activity**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_OAC Appendix I. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>Reference</td>
<td>Description</td>
<td>Observations</td>
</tr>
<tr>
<td>-----------</td>
<td>-------------</td>
<td>--------------</td>
</tr>
<tr>
<td>OM_OAC Appendix I. Article 6</td>
<td>Particular rules for &quot;Other bets with bookmakers&quot;</td>
<td></td>
</tr>
<tr>
<td>OM_OAC Appendix I. Article 12</td>
<td>Amounts for &quot;Other bets with bookmakers&quot;</td>
<td></td>
</tr>
<tr>
<td>OM_OAC Appendix I. Article 13</td>
<td>Laying bets and event cancellations</td>
<td></td>
</tr>
<tr>
<td>OM_OAC Appendix I. Article 14</td>
<td>Realisation of the gambling activity, determination and allocation of winnings</td>
<td></td>
</tr>
<tr>
<td>OM_OAC Appendix I. Article 15</td>
<td>Payment of winnings</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Monetary limits for participation**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_OAC Article 3</td>
<td>Monetary limits for participation in other bets with bookmakers</td>
<td></td>
</tr>
<tr>
<td>OM_OAC Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Area: Duties of informing participants**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_OAC Appendix I. Article 8</td>
<td>Duties of informing participants</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Promotion of gambling activities**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_OAC Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

**g. Technical requirements for poker licences**

**Area: Realisation of the gambling activity and permitted variations**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_POQ Article 4</td>
<td>Variations of poker</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix IV</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 2</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 6</td>
<td>Particular rules of poker</td>
<td>The gambling activity is governed by basic regulations. The gambling activity is governed by particular rules. Published particular rules.</td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 11</td>
<td>Objective of poker</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 13</td>
<td>Participation in the game. Suspensions, cancellations, postponements and interruptions to games and tournaments</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 14</td>
<td>Realisation of the game and determination of the winnings obtained by participants</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 15</td>
<td>Pot</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 16</td>
<td>Payment of winnings to participants</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Monetary limits for participation**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_POQ Article 3</td>
<td>Monetary limits for participation in poker</td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix I. Article 12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OM_POQ Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Area: Duties of informing participants**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_POQ Appendix I. Article 8</td>
<td>Duties of informing participants</td>
<td></td>
</tr>
</tbody>
</table>

This text of this site is unofficial English translation of the official texts in Spanish. The later will prevail in case of discrepancies
Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_POQ Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

h. Technical requirements for roulette licences

Area: Realisation of the gambling activity and permitted types

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_RLT Article 4.</td>
<td>Types of roulette</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix IV.</td>
<td>List of the types of roulette.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 11.</td>
<td>Objective of roulette.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 12.</td>
<td>Monetary limits for participation in roulette.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 13.</td>
<td>Participation in the game.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 14.</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 15.</td>
<td>Particular regulations for the &quot;Live Roulette&quot; version.</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix I. Article 16.</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_RLT Article 3</td>
<td>Monetary limits for participation in roulette</td>
<td></td>
</tr>
<tr>
<td>OM_RLT Appendix III</td>
<td>Monetary limits for participation in roulette</td>
<td></td>
</tr>
</tbody>
</table>

Area: Duties of informing participants

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_RLT Appendix I. Article 8.</td>
<td>Duties of informing participants.</td>
</tr>
</tbody>
</table>

Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_RLT Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

i. Technical requirements for bingo licences

Area: Realisation of the gambling activity and types

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BNG Appendix I. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_BNG Appendix I. Article 11.</td>
<td>Objective of bingo.</td>
<td></td>
</tr>
<tr>
<td>OM_BNG Appendix I. Article 12.</td>
<td>Monetary limits for participation in bingo.</td>
<td></td>
</tr>
<tr>
<td>OM_BNG Appendix I. Article 13.</td>
<td>Participation in the game.</td>
<td></td>
</tr>
<tr>
<td>OM_BNG Appendix I. Article 14.</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_BNG Appendix I. Article 15.</td>
<td>Distribution of funds for winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation
Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BNG Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BNG Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

j. Technical requirements for blackjack licences

Area: Realisation of the gambling activity and types

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BLJ Article 4.</td>
<td>Types of blackjack</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix IV.</td>
<td>Types of blackjack</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 2.</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 11.</td>
<td>Objective of blackjack.</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 12.</td>
<td>Monetary limits for participation in blackjack.</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 13.</td>
<td>Participation in the game.</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 14.</td>
<td>Realisation of the gambling activity, determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_BLJ Appendix I. Article 15.</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BLJ Article 3</td>
<td>Monetary limits for participation in blackjack</td>
<td></td>
</tr>
</tbody>
</table>

Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BLJ Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_BLJ Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

k. Technical requirements for punto banco licences

Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_PUN Appendix I. Article 2.</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_PUN Appendix I. Article 12.</td>
<td>Monetary limits for participation in punto banco.</td>
<td></td>
</tr>
<tr>
<td>OM_PUN Appendix I. Article 13.</td>
<td>Participation in the game.</td>
<td></td>
</tr>
</tbody>
</table>
### Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_PUN Article 3</td>
<td>Monetary limits for participation in punto banco</td>
<td></td>
</tr>
<tr>
<td>OM_PUN Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_PUN Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_PUN Appendix I. Article 8.2</td>
<td>Promotion of gambling activities Promotional discounts</td>
<td></td>
</tr>
</tbody>
</table>

### I. Technical requirements for competition licences

#### Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_CON Appendix I. Article 2.</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_CON Appendix I. Article 10. 4.</td>
<td>Channels and means of participation.</td>
<td></td>
</tr>
</tbody>
</table>

#### Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_CON Article 3</td>
<td>Monetary limits for participation in competitions</td>
<td></td>
</tr>
<tr>
<td>OM_CON Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_CON Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_CON Appendix I. Article 9.2</td>
<td>Promotion of gambling activities Promotional discounts</td>
<td></td>
</tr>
</tbody>
</table>

### m. Technical requirements for "Additional Games" licences

#### Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_COM Appendix I. Article 1.</td>
<td>Purpose and scope of application.</td>
<td></td>
</tr>
<tr>
<td>OM_COM Appendix I. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_COM Appendix I. Article 6.</td>
<td>Particular rules of &quot;Additional Games&quot;.</td>
<td></td>
</tr>
<tr>
<td>OM_COM Appendix I. Article 11.</td>
<td>Objective of &quot;Additional Games&quot;.</td>
<td></td>
</tr>
</tbody>
</table>
### OM_COM Appendix I. Article 12.
Participation amount in "Additional Games".

### OM_COM Appendix I. Article 13.
Participation in "Additional Games".

### OM_COM Appendix I. Article 14.
Realisation of the games, determination and allocation of winnings.

### OM_COM Appendix I. Article 15.
Payment of winnings.

#### Area: Monetary limits for participation

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_COM Article 3</td>
<td>Monetary limits for participation in additional games</td>
<td></td>
</tr>
<tr>
<td>OM_COM Appendix III</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Area: Duties of informing participants.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_COM Appendix I. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

#### Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_COM Appendix I. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

#### n. Technical requirements for "Online Slot-machine" licences

##### Area: Configuration and realisation of the online slot-machine game session.

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AZA Article 12.2.</td>
<td>Monetary limits for participation in the Online Slot-machine game during an online slot-machine game session.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 14.1.</td>
<td>The operator notifies the participant of approaching limits.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 14.1.</td>
<td>Compulsive gambling warning on restarting a session within 60 minutes.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 14.2.</td>
<td>Possibility of restricting future sessions.</td>
<td></td>
</tr>
<tr>
<td>RES_TEC Appendix I. 02/01/2014</td>
<td>Record of session configurations.</td>
<td></td>
</tr>
</tbody>
</table>

##### Area: Duties of informing participants in relation to the gambling session intended for online slot-machines

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AZA. Article 8.1.2.i)</td>
<td>Balance, wagered total and winnings total.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.j)</td>
<td>History record of sessions, with breakdown.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.2</td>
<td>Periodic warnings for spent time and amount.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 13.2.</td>
<td>Accrediting document at the session's end, with breakdown</td>
<td></td>
</tr>
</tbody>
</table>

##### Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AZA. Article 2.</td>
<td>Definitions.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 6.</td>
<td>Particular rules of the &quot;Online Slot-</td>
<td></td>
</tr>
</tbody>
</table>
### Area: Duties of informing participants

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AZA. Article 8.1.1</td>
<td>Publication of particular rules.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.a</td>
<td>Operator and licence details.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.b</td>
<td>Claim details.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.c</td>
<td>Rules and methods of participation.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.d</td>
<td>Responsible gambling policies</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.e</td>
<td>Theoretical and real RTP for the last 6 months.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.f</td>
<td>Max. and min. amount.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.g</td>
<td>Method of realisation.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.h</td>
<td>Description of combinations and winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_AZA. Article 8.1.2.k</td>
<td>Percentage dedicated to the jackpot.</td>
<td></td>
</tr>
</tbody>
</table>

### Area: Promotion of gambling activities

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_AZA. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
</tbody>
</table>

### o. Technical requirements for "Exchange Betting" licences

#### Area: Realisation of the gambling activity

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ACX. Article 2</td>
<td>Definitions</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 6</td>
<td>Particular rules for exchange bets.</td>
<td>The gambling activity is governed by basic regulations.</td>
</tr>
<tr>
<td>OM_ACX. Article 11.</td>
<td>Objective of exchange bets.</td>
<td>The gambling activity is governed by particular rules.</td>
</tr>
<tr>
<td>OM_ACX. Article 12.1</td>
<td>Amounts for exchange bets. Particular rules.</td>
<td>Published particular rules.</td>
</tr>
<tr>
<td>OM_ACX. Article 14.1 and 14.2</td>
<td>Confirmation and accreditation of bets.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 14.3 and 14.5</td>
<td>Balance withholding.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 14.6</td>
<td>Mechanisms for modifying and cancelling bets.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 15.</td>
<td>Laying an exchange bet.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 16.</td>
<td>Measures are implemented for detecting patterns of fraud.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 17.</td>
<td>Determination and allocation of winnings.</td>
<td></td>
</tr>
<tr>
<td>Reference</td>
<td>Description</td>
<td>Observations</td>
</tr>
<tr>
<td>-----------</td>
<td>-------------</td>
<td>--------------</td>
</tr>
<tr>
<td>OM_ACX. Article 18</td>
<td>Payment of winnings.</td>
<td></td>
</tr>
<tr>
<td>OM_ACX. Article 19</td>
<td>Suspensions, cancellations or postponements of events.</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Monetary limits for participation**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ACX. Article 12</td>
<td>Monetary limits for participation in exchange bets</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Duties of informing participants.**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ACX. Article 8</td>
<td>Duties of informing participants.</td>
<td></td>
</tr>
</tbody>
</table>

**Area: Promotion of gambling activities**

<table>
<thead>
<tr>
<th>Reference</th>
<th>Description</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>OM_ACX. Article 9.2</td>
<td>Promotion of gambling activities</td>
<td>Promotional discounts</td>
</tr>
<tr>
<td>OM_AZA. Article 9.3</td>
<td>Publication of the terms and conditions for promotions</td>
<td></td>
</tr>
</tbody>
</table>